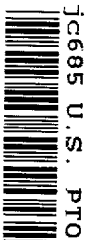


01/30/02



JCS685 U.S. PTO

02-05-02

A

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new non-provisional applications under 37CFR§1.53(b))</small>	Attorney Docket No.	JPS 756.712
	First Inventor or Application Identifier	Benjamin C. Rivera
	Title	TOOL FRAME MEMBER INCLUDING SPRING
	Express Mail Label No.	EL 915418606 US

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status See 37 C.F.R. 1.27	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total pages 21] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"><li>- Descriptive Title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Federally Sponsored R&amp;D</li><li>- Reference to sequence listing, a table or a computer program listing</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on <ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies) or</li><li>ii. <input type="checkbox"/> paper</li></ul> c. <input type="checkbox"/> Statement verifying identity of above copies
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Pages 6]	<b>ACCOMPANYING APPLICATION PARTS</b>
5. Oath or Declaration [Total Pages 27] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small><ul style="list-style-type: none"><li>i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.53(d)(2) and 1.33(b)</li></ul></li></ul>	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
6. <input type="checkbox"/> Application Data Sheet. See 37 C.F.R. 1.76	10. <input checked="" type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>
	11. <input type="checkbox"/> English translation document (if applicable)
	12. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO 1449 <input type="checkbox"/> Copies of IDS Citations
	13. <input type="checkbox"/> Preliminary Amendment
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(should be specifically itemized)</small>
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>
	16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent.
	17. <input type="checkbox"/> Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_/\_\_\_\_

Prior application information. Examiner \_\_\_\_\_ Group No./Art Unit \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>17. CORRESPONDENCE ADDRESS</b>					
<input type="checkbox"/> Customer Number or Bar Code Label		(insert customer number or attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	J. Peter Staples, Esq.				
Address	601 S.W. Second Avenue, #1600				
City	Portland,	State	Oregon	Zip Code	97204
Country	USA	Telephone	(503) 227-5631	FAX	(503) 228-4373
Name (print/ type)	J. Peter Staples	Registration No. (Attorney/Agent)	30,690		
Signature			Date	1/30/02	
				January 30, 2002	

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete If Known

Application Number	
Filing Date	(Concurrently Herewith)
First Named Inventor	Benjamin C. Rivera
Examiner Name	
Group/ Art Unit	
Attorney Docket No.	JPS 756.712

TOTAL AMOUNT OF PAYMENT \$637 and \$40

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number 03-1550  
Deposit Account Name Chernoff Vilhauer McClung & Stenzel

☒ Charge any additional fee required under 37 CFR 1.16 & 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					\$370

### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	45 - 20** = 25	9	225
Indep. Claims	4 - 3** = 1	42	42
Multiple Dependent			0

\*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$267

## FEE CALCULATION (continued)


### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex-parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 C.F.R. 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other (specify)					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					\$40

## SUBMITTED BY

Complete (if applicable)

Name (print type)	J. PETER STAPLES	Registration No. 30,690	Telephone	(503) 227-5631
Signature			Date	1/30/02
				January 30, 2002

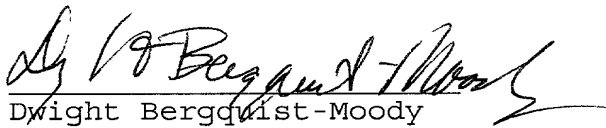
CERTIFICATE OF MAILING BY

"EXPRESS MAIL"

Express Mail No.: EL 915418606US

Date of Deposit : January 30, 2002

I hereby certify that the patent application  
attached hereto entitled TOOL FRAME MEMBER INCLUDING SPRING,  
Benjamin C. Rivera, Inventor, together with the required fees,  
is being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service on the date  
indicated above and is addressed to Box Patent Application,  
Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Dwight Bergquist-Moody

**STATEMENT UNDER 37 CFR §3.73(b)**

Applicant/Patent Owner: BENJAMIN C. RIVERA

Application No./Patent No.:

Filed/Issue Date: Concurrently Herewith

Entitled: TOOL FRAME MEMBER INCLUDING SPRING

Leatherman Tool Group, Inc., a(n) Oregon Corporation

Name of Assignee

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_ %.

in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent/trademark application/trademark identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

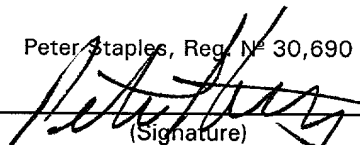
- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08.]

The undersigned (whose title is supplied below) is empowered to sign this Statement on behalf of the assignee.

Date: January 30, 2002

Peter Staples, Reg. No. 30,690



(Signature)

Of Attorneys for Assignee

1600 ODS Tower  
601 SW Second Avenue  
Portland, Oregon 97204-3157  
Tel: (503) 227-5631